United States Court of Appeals for the Second Circuit Thurgood Marshall U.S. Courthouse 40 Foley Square New York, NY 10007

ROBERT A. KATZMANN CHIEF JUDGE

CATHERINE O'HAGAN WOLFE

CLERK OF COURT

Date: November 21, 2014 DC Docket #: 04-cv-9866

Docket #: 14-2853cv DC Court: SDNY (NEW YORK CITY)

Short Title: In Re: Pfizer Securities Litig DC Judge: Pitman DC Judge: Swain

NOTICE OF DEFECTIVE FILING

On November 19, 2014 the brief, special appendix, and appendix were submitted in the above referenced case. The document does not comply with the FRAP or the Court's Local Rules for the following reason(s):

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